

**2016 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# P17439

**Entity Name:** PRINCIPAL FINANCIAL ADVISORS, INC.

**Current Principal Place of Business:**

711 HIGH STREET  
DES MOINES, IA 50392

**Current Mailing Address:**

711 HIGH STREET  
ATTN: SHIRLEY HOLLISTER, G-031-W40  
DES MOINES, IA 50392-0306 US

**FEI Number:** 52-1523364

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301-2525 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title DIRECTOR  
Name LAWLER, JULIA M  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title PRESIDENT/CHAIR  
Name WELCH, RANDY L  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title EVP/GENERAL COUNSEL/SECRETARY  
Name SHAFF, KAREN E  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title ASSISTANT SECRETARY  
Name BARRY, PATRICIA A  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title DIRECTOR  
Name BOWMAN, CHRISTOPHER J  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title VICE PRESIDENT  
Name FENNESSEY, JAMES W  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title DIRECTOR  
Name HOOGENSEN, KARA  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title DIRECTOR  
Name MORRIS, KEVIN J  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

**Continues on page 2**

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** PATRICIA A BARRY

**ASSISTANT SECRETARY** 04/29/2016

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date

**Officer/Director Detail Continued :**

Title VICE PRESIDENT  
Name STARK, MARK A  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title VP  
Name GOWDY, BRIAN  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392

Title CFO  
Name SCHOLTEN, MICHAEL  
Address 711 HIGH STREET  
City-State-Zip: DES MOINES IA 50392