

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 23, 2001 8:00 am
Secretary of State

04-23-2001 90027 028 ***150.00

DOCUMENT # P17439

1. Entity Name

PRINCIPAL FINANCIAL ADVISORS, INC.

Principal Place of Business

Mailing Address

711 HIGH STREET
 C/O CAROL LEVINE. LAW
 DES MOINES IA 50392-0300
 US

711 HIGH STREET
 C/O CAROL LEVINE. LAW
 DES MOINES IA 50392-0300
 US



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number **52-1523364**

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

Name
N/A

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE N/A

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **D** Delete
 NAME **PREY, RICHARD L.**
 STREET ADDRESS **711 HIGH ST**
 CITY-ST-ZIP **DES MOINES IA**

TITLE **-SEE ATTACHMENT A-** Change Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** Delete
 NAME **SAGER, JAMES F**
 STREET ADDRESS **711 HIGH ST**
 CITY-ST-ZIP **DES MOINES IA**

TITLE **V** Change Addition
 NAME **RANDY L. WELCH**
 STREET ADDRESS **711 High Street**
 CITY-ST-ZIP **Des Moines, IA 50392-0306**

TITLE **VS** Delete
 NAME **HOFFMAN, JOYCE N.**
 STREET ADDRESS **711 HIGH ST**
 CITY-ST-ZIP **DES MOINES IA**

TITLE Change Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VT** Delete
 NAME **BASSETT, CRAIG L.**
 STREET ADDRESS **711 HIGH ST**
 CITY-ST-ZIP **DES MOINES IA**

TITLE Change Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **PD** Delete
 NAME **ZIMPLEMAN, LARRY D**
 STREET ADDRESS **711 HIGH ST**
 CITY-ST-ZIP **DES MOINES IA**

TITLE Change Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE Change Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Joyce N. Hoffman
 Joy N. Hoffman, Vice President and Corporate Secretary

515.247.5111

Date

Daytime Phone #

CR2E034 (10/00)

Attachment Doc# P17439

A053422

Attachment A

Principal Financial Advisors, Inc.

Directors and Officers

09-Apr-01

Director

Name, Title, and Date Elected

Richard L. Prey Chairman	12/27/2000
Daniel J. Houston	12/27/2000
Larry D. Zimpleman	12/27/2000

Officer

Name, Title, and Date Elected

Larry D. Zimpleman President	12/27/2000
Randy L. Welch Vice President	12/27/2000
Joyce N. Hoffman Vice President and Corporate Secretary	12/27/2000
David J. Brown Director of Compliance	12/27/2000
Craig L. Bassett Treasurer	12/27/2000
Patricia A. Barry Assistant Corporate Secretary	12/27/2000
Gregory B. Elming Controller	12/27/2000
Michael D. Roughton Counsel	12/27/2000

Corporation Address/Address for all Directors and Officers

711 High Street, Des Moines, Iowa 50392