

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Feb 27, 2002 8:00 am
Secretary of State

02-27-2002 90037 003 ***150.00

0507338 AT

DOCUMENT # P17439

1. Entity Name
PRINCIPAL FINANCIAL ADVISORS, INC.

Principal Place of Business 711 HIGH STREET C/O CAROL LEVINE. LAW DES MOINES IA 50392-0300 US	Mailing Address 711 HIGH STREET C/O CAROL LEVINE. LAW DES MOINES IA 50392-0300 US
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2. Principal Place of Business Suite, Apt. #, etc.	3. Mailing Address Suite, Apt. #, etc.
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DO NOT WRITE IN THIS SPACE

City & State	City & State	4. FEI Number 52-1523364	Applied For <input type="checkbox"/> Not Applicable
Zip	Country	Zip	Country

5. Certificate of Status Desired <input type="checkbox"/> \$8.75 Additional Fee Required	6. Name and Address of Current Registered Agent CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION FL 33324	7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code
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8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating)
 Signature, typed or printed name of registered agent and title if applicable. DATE _____

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) <input checked="" type="checkbox"/>	FILE NOW!!! FEE IS \$150.00 After May 1, 2002 Fee will be \$550.00 Make Check Payable to Department of State	10. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/> \$5.00 May Be Added to Fees
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11. OFFICERS AND DIRECTORS			12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D PREY, RICHARD L. 711 HIGH ST DES MOINES IA 50392	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	-SEE ATTACHMENT A-	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VS HOFFMAN, JOYCE N. 711 HIGH ST DES MOINES IA 50392	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VT BASSETT, CRAIG L. 711 HIGH ST DES MOINES IA 50392	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD ZIMPLEMAN, LARRY D 711 HIGH ST DES MOINES IA 50392	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V WELCH, RANDY L 711 HIGH STREET DES MOINES IA 50392-0306	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE *Patricia A. Barry* **Patricia A. Barry**
 Assistant Corporate Secretary 2-10-2002 515.247.5111
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (9/01)

Attachment DOC# P17439

Attachment A

744948

Principal Financial Advisors, Inc.

Directors and Officers

14-Jan-02

Director

Name, Title, and Date Elected

Richard L. Prey Chairman	12/27/2000
Daniel J. Houston	12/27/2000
Larry D. Zimpleman	12/27/2000

Officer

Name, Title, and Date Elected

Larry D. Zimpleman President	12/27/2000
Randy L. Welch Vice President	12/27/2000
Joyce N. Hoffman Vice President and Corporate Secretary	12/27/2000
David J. Brown Director of Compliance	12/27/2000
Craig L. Bassett Treasurer	12/27/2000
Patricia A. Barry Assistant Corporate Secretary	12/27/2000
Gregory B. Elming Controller	12/27/2000
Michael D. Roughton Counsel	12/27/2000

Corporation Address/Address for all Directors and Officers

711 High Street, Des Moines, Iowa 50392