

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 19, 2001 8:00 am
Secretary of State

04-19-2001 90304 006 ***150.00

DOCUMENT # P19699

1. Entity Name

PRINCIPAL MANAGEMENT CORPORATION

Principal Place of Business

**711 HIGH STREET
 C/O DEBORAH KERNS. LAW
 DES MOINES IA 50392-0300
 US**

Mailing Address

**711 HIGH STREET
 C/O DEBORAH KERNS. LAW
 DES MOINES IA 50392-0300
 US**

2. Principal Place of Business

711 High Street

Suite, Apt. #, etc.

c/o CAROL LEVINE

City & State

Des Moines, IA

Zip

50392-0306

Country

USA

3. Mailing Address

711 High Street

Suite, Apt. #, etc.

c/o CAROL LEVINE

City & State

Des Moines, IA

Zip

50392-0306

Country

USA



DO NOT WRITE IN THIS SPACE

4. FEI Number **42-0948302**

Applied For

Not Applicable

5. Certificate of Status Desired

\$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

N/A

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE N/A

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

**FILE NOW!!! FEE IS \$150.00
 After MAY 1, 2001 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution.

\$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS

TITLE	VS	<input type="checkbox"/> Delete
NAME	HOFFMAN, J.N.	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA	
TITLE	T	<input type="checkbox"/> Delete
NAME	BASSETT, CRAIG T	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA	
TITLE	V	<input checked="" type="checkbox"/> Delete
NAME	BARNES, CRAIG R	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA	
TITLE	D	<input type="checkbox"/> Delete
NAME	DRURY, DAVID J.	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA	
TITLE		<input type="checkbox"/> Delete
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Delete
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	-SEE ATTACHMENT A-	
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE	V	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	SAGER, JAMES F	
STREET ADDRESS	711 High Street	
CITY-ST-ZIP	Des Moines, IA 50392-0306	
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Joyce N. Hoffman

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Joyce N. Hoffman, Vice President and Corporate Secretary

Date

515.247.5111

Daytime Phone #

CR2E034 (10/00)

Attachment A
Principal Management Corporation

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Directors and Officers

09-Apr-01

Director

Name, Title, and Date Elected

J. Barry Griswell Chairman	09/20/2000
John E. Aschenbrenner	09/20/2000
Michael T. Daley	09/20/2000
David J. Drury	09/20/2000
Ralph C. Eucher	09/20/2000
Dennis P. Francis	09/20/2000
Richard L. Prey	09/20/2000
Karen E. Shaff	09/20/2000

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Officer

Name, Title, and Date Elected

Ralph C. Eucher President	09/20/2000
James F. Sager Vice President	09/20/2000
Arthur S. Filean Senior Vice President	09/20/2000
Ronald L. Danilson Executive Vice President and Chief Operating Officer	09/20/2000
John R. Lepley Senior Vice President - Marketing and Distribution	09/20/2000
Kirk L. Tibbetts Senior Vice President and Chief Financial Officer	09/20/2000
Ernest Gillum Vice President - Product Development	09/20/2000
Paul N. Germain Vice President - Mutual Fund Operations	09/20/2000
Joyce N. Hoffman Vice President and Corporate Secretary	09/20/2000
Jean B. Schustek Assistant Vice President - Registered Products	09/20/2000
Kelly A. Paul Assistant Vice President - Business Systems and Technology	09/20/2000
Craig L. Bassett Treasurer	09/20/2000
Patricia A. Barry Assistant Corporate Secretary	09/20/2000
Layne A. Rasmussen Controller - Mutual Funds	09/20/2000

Michael D. Roughton
Counsel

09/20/2000

Corporation Address/Address for all Directors and Officers

711 High Street, Des Moines, Iowa 50392

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