

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Feb 05, 2002 8:00 am
Secretary of State

02-05-2002 90079 011 ***150.00

0907243 A1

DOCUMENT # P19699
 1. Entity Name
PRINCIPAL MANAGEMENT CORPORATION

Principal Place of Business Mailing Address
711 HIGH STREET **711 HIGH STREET**
C/O CAROL LEVINE **C/O CAROL LEVINE**
DES MOINES IA 50392-0306 **DES MOINES IA 50392-0306**
US **US**

2. Principal Place of Business 3. Mailing Address
 Suite, Apt. #, etc. Suite, Apt. #, etc.
 City & State City & State



DO NOT WRITE IN THIS SPACE

4. FEI Number **42-0948302** Applied For
 Not Applicable
 5. Certificate of Status Desired \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent
CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

7. Name and Address of New Registered Agent
 Name
 Street Address (P.O. Box Number is Not Acceptable)
 City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.
 SIGNATURE _____ DATE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. \$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VS HOFFMAN, J.N. 711 HIGH STREET DES MOINES IA <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	T BASSETT, CRAIG T 711 HIGH STREET DES MOINES IA <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V SAGER, JAMES F 711 HIGH STREET DES MOINES IA 50392-0306 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D DRURY, DAVID J. 711 HIGH STREET DES MOINES IA <input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ASCHENBRENNER, JOHN E 711 HIGH STREET DES MOINES IA <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D DALEY, MICHAEL T 711 HIGH STREET DES MOINES IA <input type="checkbox"/> Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	-SEE ATTACHMENT A- <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	50392 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	50392 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D GRISWELL, J. BARRY 711 HIGH STREET DES MOINES, IA 50392 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	50392 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	50392 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE *Patricia A. Barry* **Patricia A. Barry**
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR
 Date **1-16-2002** Daytime Phone # **15.247.511**

CR2E034 (9/01)

Attachment
Doc# P19699

Attachment
917840
#P19699

**Attachment A
Principal Management Corporation
Directors and Officers**

14-Jan-02

Director

Name, Title, and Date Elected	
J. Barry Griswell Chairman	06/29/2001
John E. Aschenbrenner	06/29/2001
Michael T. Daley	06/29/2001
Ralph C. Eucher	06/29/2001
Dennis P. Francis	06/29/2001
Richard L. Prey	06/29/2001
Karen E. Shaff	06/29/2001
Larry D. Zimpleman	06/29/2001

Officer

Name, Title, and Date Elected	
Ralph C. Eucher President	06/29/2001
James F. Sager Vice President	06/29/2001
Arthur S. Filean Senior Vice President	06/29/2001
Joyce N. Hoffman Senior Vice President and Corporate Secretary	06/29/2001
Kirk L. Tibbetts Senior Vice President and Chief Financial Officer	06/29/2001
John R. Lepley Senior Vice President - Marketing and Distribution	06/29/2001
Ernest Gillum Vice President - Product Development	06/29/2001
Paul N. Germain Vice President - Mutual Fund Operations	06/29/2001
Jean B. Schustek Assistant Vice President - Registered Products	06/29/2001
Kelly A. Paul Assistant Vice President - Business Systems and Technology	06/29/2001
Craig L. Bassett Treasurer	06/29/2001
Patricia A. Barry Assistant Corporate Secretary	06/29/2001
Layne A. Rasmussen Controller - Mutual Funds	06/29/2001
Michael D. Roughton Counsel	06/29/2001

Corporation Address/Address for all Directors and Officers

711 High Street, Des Moines, Iowa 50392