

P 24373

Florida Department of State  
Division of Corporations  
Electronic Filing Cover Sheet

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To: Division of Corporations  
Fax Number : (850) 617-6380

*\* Part of 1-2 filing through but didn't go through*

From: Account Name : C T CORPORATION SYSTEM  
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Fax Number : (850) 878-5368

**\*RE-SUBMIT\***

**Please retain original filing date of submission 7/12**

\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.\*\*

Email Address: \_\_\_\_\_

**COR AMND/RESTATE/CORRECT OR O/D RESIGN  
PRUDENTIAL BACHE ASSET MANAGEMENT, INC.**

Certificate of Status	0
Certified Copy	0
Page Count	04
Estimated Charge	\$35.00

14 JUL 12 PM 3:05  
SECRETARY OF STATE  
TALLAHASSEE, FL 32399

APPROVED  
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11 JUL 13 AM 9:00

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

*Handwritten signatures and initials*

2

**COVER LETTER**

**TO:** Amendment Section  
Division of Corporations

**SUBJECT:** Prudential Bache Asset Management, Inc.  
Name of Corporation

**DOCUMENT NUMBER:** P34373

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Ji Kim  
Name of Contact Person

Morgan, Lewis & Bockius LLP  
Firm/Company

101 Park Avenue  
Address

New York, NY 10178  
City/State and Zip Code

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Ji Kim at ( 212 ) 309-6737  
Name of Contact Person Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

- \$35.00 Filing Fee
- \$43.75 Filing Fee & Certificate of Status
- \$43.75 Filing Fee & Certified Copy (Additional copy is enclosed)
- \$52.50 Filing Fee, Certificate of Status & Certified Copy (Additional copy is enclosed)

**Mailing Address:**  
Amendment Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Street Address:**  
Amendment Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**PROFIT CORPORATION**  
**APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO**  
**APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**  
(Pursuant to s. 607.1504, F.S.)

**SECTION I**  
**(1-3 MUST BE COMPLETED)**

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(Document number of corporation (if known))

1. Prudential Bache Asset Management, Inc.  
(Name of corporation as it appears on the records of the Department of State)
2. New Jersey 3. \_\_\_\_\_  
(Incorporated under laws of) (Date authorized to do business in Florida)

**SECTION II**  
**(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)**

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 6/30/2011
5. Bache Asset Management, Inc.  
(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

\_\_\_\_\_  
(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

\_\_\_\_\_  
(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other officer having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

\_\_\_\_\_  
(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Donald Levine

(Typed or printed name of person signing)

Senior VP & Secretary

(Title of person signing)

M JUL 12 PM 3:05  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

APPROVED  
FILED

STATE OF NEW JERSEY  
DEPARTMENT OF THE TREASURY  
CERTIFICATE OF NAME CHANGE

BACHE ASSET MANAGEMENT, INC.  
0100229576

I, the Treasurer of the State of New Jersey, do hereby certify,  
that on July 1, 2001, a name change certificate  
was duly filed in this office, changing the business name from  
Prudential Asset Management Inc.

to:

Prudential Bache Asset Management Inc.



Certificate Number: 120940774

Verify this certificate online at

[https://www1.state.nj.us/TYTR\\_StandingsCert/JSP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingsCert/JSP/Verify_Cert.jsp)

IN TESTIMONY WHEREOF, I have  
hereunto set my hand and  
affixed my Official Seal  
at Trenton, this  
6th day of July, 2011

Andrew P Sidamon-Eristoff  
Treasurer